

Central Depository Services (India) Limited

CDSL/OPS/DP/POLCY/2019/145

March 18, 2019

CLARIFICATION TO CYBER SECURITY & CYBER RESILIENCE FRAMEWORK FOR STOCK BROKERS / DEPOSITORY PARTICIPANTS

DPs are advised to refer to communiqué no. CDSL/OPS/DP/POLCY/2018/641 dated December 04, 2018 wherein we have informed about SEBI Circular no. SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018 regarding compliance norms for Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants.

SEBI has now issued Circular no. CIR/HO/MIRSD/DOS2/CIR/PB/2019/038 dated March 15, 2019 regarding Clarification to Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants

DPs are advised to take note of the same and ensure compliance.

Queries regarding this communiqué may be addressed to **CDSL – Helpdesk:** on telephone numbers (022) 2305-8624, 2305-8639, 2305-8642, 2305-8663, 2305-8640, 2300-2041 or 2300-2033. Emails may be sent to: <u>helpdesk@cdslindia.com</u>.

Umesh Kambli Asst. Vice President – Operations



CIRCULAR

CIR/HO/MIRSD/DOS2/CIR/PB/2019/038

March 15, 2019

To,

The Managing Directors of all Recognized Stock Exchanges and Depositories

Dear Sir/Madam,

Sub: Clarification to Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants

- 1. SEBI vide circular no. SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018, has issued compliance norms for Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants.
- 2. Subsequently, SEBI has received representations from the stock brokers with respect to para 7 of Annexure- 1 to the aforesaid circular. Accordingly, it is clarified that in Para 7, the words "Internal Technology Committee" stands replaced as "Technology Committee".
- 3. The Stock Exchanges/Depositories are directed to bring the contents of this circular to the notice of the Stock Brokers/Depository Participants and also disseminate the same on their websites.
- 4. This circular is issued in exercise of powers conferred under Section 11(1) of the Securities and Exchange Board of India Act, 1992 to protect the interests of investors in securities and to promote the development of, and to regulate the securities markets.
- 5. This Circular is available on SEBI website at <u>www.sebi.gov.in</u> under the categories "Legal Framework".

Yours faithfully,

Debashis Bandyopadhyay Chief General Manager Market Intermediaries Regulations and Supervision Department